

SERVICE HOTLINE

Number: 121/2024
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GUIDELINES FOR THE JSE MARKET FACING DISASTER RECOVERY AND BUSINESS CONTINUITY TEST

The JSE conducts a Market-Facing Disaster Recovery and Business Continuity Test annually to evaluate and improve the resilience and recovery capabilities of both the JSE and our clients, should we face a scenario necessitating the activation of the JSE Disaster Recovery process.

This year's JSE Market-Facing Disaster Recovery and Business Continuity test is scheduled to take place on **19 and 20 October 2024** and we wish to encourage all clients to participate in this test.

In efforts to mature our testing processes and drive improved outcomes from such tests, we would like to encourage increased direct member participation viz registered traders and individuals associated with trading activities to take part in the annual Market-Facing Disaster recovery tests.

In addition to testing the ability to connect to the JSE's Disaster Recovery site, we wish to request that clients test their ability to execute basic day-to-day processes within the DR environment. We have included suggested testing guidelines below for your reference.

Please note: Remote testing is permitted, and we do not envisage the test to take longer than 30 minutes.

We will be hosting an online information session on Thursday, 19 September 11h00 to 12h00, the invite has been extended to compliance officers, technical contacts, project managers, software providers and operational leads. Please email keyclient@jse.co.za if you would like to participate and did not receive the invite.

As is custom, each participating client will be issued with a Compliance letter confirming their participation in the test along with the additional business processes that would have been tested and the outcome thereof.

MARKETS / SERVICES:

- Equity Market
- Equity Derivatives Market
- Interest Rate Derivatives Market
- Currency Derivatives Market
- Commodity Derivatives Market
- Bond Market
- Bond ETP Market
- JSE Broker Deal Accounting (BDA)
- Real Time Clearing (RTC)
- Colocation
- International Access Point (IAP)
- EOD Information Subscribers
- Live Information Subscribers

ENVIRONMENT(S):

Production

ADDITIONAL INFORMATION:

If you have any queries about this announcement, please contact the Client Service Centre on +27 11 520 7777 or customersupport@jse.co.za

Recommended Testing guidelines:

Market Participants Testing
<p>Trading members:</p> <ul style="list-style-type: none"> • Trading connectivity logon • Insert or book a trade (submit 1 order and 1 Off Book trade and receive acknowledgements) • Successfully view market data and receive live updates during the test to ensure public data is processing • Cancel a trade • Deal management <p>Clearing members:</p> <ul style="list-style-type: none"> • Confirm reference data is viable on the front-end OR re-request start of day reference data via the API • Perform downloads, run End of Day activities and verify balances • Perform Off Book Trade Reports for each client • Deal management <p>Information Subscribers:</p> <ul style="list-style-type: none"> • Successfully connect to Market Data Gateways • Successfully re-requested start of day reference data • Successfully view market data and receive live updates during the test to ensure public data is processing

Yours sincerely,

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